



PROASSURANCE<sub>®</sub>

Treated Fairly

**Allied Health Professional Liability  
Insurance Application  
Form**

With your fully completed, signed and dated application, you **must** submit the following information:

1. Current insurance policy declarations page.
2. Written verification of the purchase of a reporting endorsement from your present carrier if your current coverage is claims-made and you are not applying for prior acts coverage.
3. Current business letterhead.
4. Articles of Incorporation, if applicable.
5. Loss runs from all prior insurance companies or explanation as to why they are not available.
6. Copy of curriculum vitae.

*Note: Submission of a completed application confers no obligation upon the Company to bind coverage.*

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**PLEASE RETURN COMPLETED SUBMISSION TO:**

Professionals' Insurance Agency, Inc.  
2904 Eastpoint Parkway  
Louisville, KY 40223  
(502) 423-7201 (Phone) / (502) 423-7261 (Fax)



**4. Licensing Information**

A. List all states in which you are or have been licensed, including license number and renewal date.

State	License Number	Renewal Date

B. Are you a member of any professional organization? ..... Yes  No   
 If yes, please give details.

**5. Professional Liability Insurance History**

Name of Company (Current)	Policy Limits	Period of Coverage: Retroactive Date:	<input type="checkbox"/> Claims-Made <input type="checkbox"/> Occurrence
Name of Company	Policy Limits	Period of Coverage: Retroactive Date:	<input type="checkbox"/> Claims-Made <input type="checkbox"/> Occurrence
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A. Have you ever applied to a ProAssurance company for insurance before?  
 ..... Yes  No

B. If you have been insured under a Claims-Made policy, are you requesting that the  
 Company provide prior acts coverage? ..... Yes  No   
 If yes, requested retroactive date \_\_\_\_\_

**Important: If you are not applying for prior acts coverage and are not purchasing a reporting endorsement from your current carrier, please explain why on a separate sheet.**

C. Have you changed your field or scope of practice or modified your specialty during the  
 past three years? ..... Yes  No   
 If yes, explain: \_\_\_\_\_

D. Have you changed the address of your practice during the past three years? ..... Yes  No   
 If yes, list prior addresses: \_\_\_\_\_

E. Has any insurance company (including Lloyds of London) ever canceled, declined to  
 issue or refused to renew your insurance or offered Professional Liability Insurance only  
 on special terms? ..... Yes  No

- F. Have you ever:
- i. been charged with, pled guilty to, or convicted of a criminal offense? ..... Yes  No
  - ii. been treated for (or recommended for treatment of) alcoholism, sexual addiction, anger management or drug addiction? ..... Yes  No
  - iii. undergone or been recommended to undergo psychiatric treatment? ..... Yes  No
  - iv. had a complaint filed against you with any hospital, professional society or regulatory board? ..... Yes  No
  - v. had any professional license/permit or narcotics license investigated, suspended, revoked, restricted or placed under probation? ..... Yes  No
  - vi. failed a licensing, specialty or board certification exam? ..... Yes  No

If you answer yes, to question(s) 5C, 5D, 5E, or any part of 5F, please provide complete details on a separate sheet of paper.

- G. Have any judgments ever been rendered against you or any out-of-court settlements in excess of \$500 been made on your behalf from an incident alleging professional errors or omissions? ..... Yes  No   
 If available, please enclose a copy of complaint.

- H. Has any action been filed against you or have you been notified that any action, regardless of dollar amount, will be filed against you alleging professional errors or omissions? ..... Yes  No   
 If available, please enclose a copy of complaint.

If you answer yes, to question(s) 5G, or 5H, please complete the attached Supplementary Claims Information Form on page 8.

**6. Rating Information**

A. Profession:

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Physician's Assistant  | <input type="checkbox"/> Perfusionist     | <input type="checkbox"/> Certified Nurse Practitioner           |
| <input type="checkbox"/> Surgeon's Assistant    | <input type="checkbox"/> Optometrist      | <input type="checkbox"/> Certified Registered Nurse Anesthetist |
| <input type="checkbox"/> Psychologist           | <input type="checkbox"/> Cytotechnologist | <input type="checkbox"/> Emergency Medical Tech                 |
| <input type="checkbox"/> Physical Therapist     | <input type="checkbox"/> Radiology Tech   | <input type="checkbox"/> Radiation Tech                         |
| <input type="checkbox"/> Occupational Tech      | <input type="checkbox"/> Respiratory Tech | <input type="checkbox"/> Pharmacist                             |
| <input type="checkbox"/> RN/LPN                 | <input type="checkbox"/> Phlebotomist     | <input type="checkbox"/> Certified Nurse Midwife                |
| <input type="checkbox"/> Other (explain): _____ |   |   |

- B. Do you moonlight (work outside control of the above employer)? ..... Yes  No   
 If yes, where?  
 \_\_\_\_\_  
 \_\_\_\_\_

- C. Will you be scheduled to work at a separate location from your supervising physician? ..... Yes  No   
 If yes, please give details on a separate sheet.

- D. Does your practice comply in every way with the rules and regulations as set forth by the agency in your state charged with licensing and monitoring individuals in your profession? . Yes  No

- E. Do you elicit, evaluate and record the health, psychosocial and developmental history of the patient? ..... Yes  No

- F. Do you order or perform diagnostic tests? ..... Yes  No

- G. Do you discriminate between normal and abnormal findings on the history, physical examination, diagnostic tests, initiate referrals and consultations when needed? ..... Yes  No

H. Do you regulate or adjust medications and treatment as prescribed by or authorized by a licensed physician? ..... Yes  No

I. Do you perform a physical examination? ..... Yes  No   
If yes, briefly describe techniques and instruments used.

J. Do you conduct informed consent discussions? ..... Yes  No

K. Do you assist in surgery? ..... Yes  No

L. Do you administer anesthesia? ..... Yes  No

M. Do you perform normal deliveries? ..... Yes  No

N. Describe any other procedures, treatments, or duties you perform.

O. If applicable, describe your procedure for notifying your supervising physician of situations beyond the scope of your training or practice.

P. Are you employed by, or are you an independent contractor for, physicians or dentists? ..... Yes  No   
If yes, list all physician and dentist names, where they are insured, limits of liability and policy expiration dates:

Name	Insurer	Limits	Policy Expiration

**8. Educational Information**

Name and Type of Graduate and Post Graduate School(s) Attended	Location	Degree	Date Graduated

A. Do you have any other specialized training? ..... Yes  No   
If yes, give details \_\_\_\_\_

B. Do you hold the certification or licensure required in your state to practice your profession? Yes  No

# IMPORTANT! YOU MUST READ CAREFULLY

## GENERAL FRAUD WARNING

Any person who knowingly includes any false or misleading information on an application for an insurance policy or files a claim containing a false or deceptive statement is guilty of insurance fraud and is subject to criminal and civil penalties.

**NEW JERSEY FRAUD WARNING:** Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties.

**PENNSYLVANIA FRAUD WARNING:** Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act which is a crime and subjects such person to criminal and civil penalties.

**WISCONSIN EXCEPTION:** If the company agrees to be bound under the terms of this application, your policy will be canceled if you hide any important information from us, or attempt to defraud or lie to us about any matter contained in this application.

## Specific Consent to Conditions of Consideration of the Application for Insurance

With the submission of this application for insurance, I accept the following conditions during the processing and consideration of my application, regardless of whether or not I am granted insurance, and for the duration of the insurance that may be issued to me:

To the fullest extent permitted by law, I extend absolute immunity to, and release from any and all liability, the Company, its directors, officers, agents, members, employees and other authorized representatives, for any acts pertaining to my application for insurance, including ultimate cancellations, rejection, or approval for insurance and any communications, reports, records, statements, documents, disclosures, including otherwise privileged or confidential information, made or given in good faith with respect to such application.

I hereby declare and warrant that the foregoing statements and particulars are, to the best of my knowledge and recollection, complete and correct and that I have not deliberately suppressed or misstated any material facts. I understand that this is an application for insurance and not an insurance binder.

I acknowledge that acceptance into the Company's insurance program is not a right of every licensed applicant who makes application for insurance and that my application will be evaluated by authorized personnel. Submission of a payment or deposit with this application and provisional receipt of such payment by the Company does not constitute acceptance for insurance nor the creation of an insurance contract. If an applicant is not accepted, any such payment shall be returned to the applicant.

\_\_\_\_\_  
**Applicant's Signature**

\_\_\_\_\_  
**Date**

**Important:** Incomplete or incorrect information could require retroactive upward premium adjustment, and in the event of a claim, could lead to a denial of liability. The following page of this Application is an **Authorization to Release Information** form which requires your signature. Please read carefully.

## Authorization to Release Information

The undersigned applicant for insurance by ProAssurance Casualty Company ("the Company") hereby authorizes his present and prior professional liability insurance carriers and any and all attorneys who have represented the undersigned in connection with any claim of professional liability to release to the Company upon its request information regarding closed, pending, or anticipated claims and any underwriting or other information which in the judgment of any such carrier, attorney, or the Company may have a bearing upon his acceptability to the Company as a professional liability insurance risk.

The undersigned also authorizes all medical associations and medical societies in which he is or has been a member, all hospitals in which he now holds or has held staff privileges, any Board of Professional Examiners or Licensure Commission for any state in which he has practiced or resided, and any and all physicians or any other third party having information regarding the undersigned, to release to the Company upon its request any information that any such person or entity may have which in the judgment of such person or entity or the Company may have a bearing upon his acceptability to the Company as a professional liability insurance risk.

The undersigned hereby releases and agrees to hold harmless all persons or organizations releasing the information described above, their agents, servants, and employees, and the Company, its directors, officers, employees, agents, and members from any liability arising out of the release or use of any information released or furnished pursuant to this authorization, notwithstanding the fact that there may be errors, omissions, or mistakes contained in such released information.

The undersigned hereby acknowledges that persons and organizations releasing information described above will be advised that their identity, and the information they provide, will be held in confidence and will not be disclosed to the undersigned. The undersigned agrees that the undersigned shall not seek to discover or compel the disclosure, through judicial process, litigation or otherwise, of the identity of the persons or organizations releasing information described above or of the form or content of the information so provided, and the undersigned hereby expressly waives any right the undersigned may have to compel such disclosure.

The undersigned further agrees that the Company and all persons and organizations described above may rely upon a copy of this Authorization, which shall be of equal validity with the signed original.

**Name (Printed):** \_\_\_\_\_

**Signature:** \_\_\_\_\_

**Date:** \_\_\_\_\_

**Address:** \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**Allied Health Professional Supplementary Claims Information Form**

**If there has been more than one claim, please photocopy this form. Attach additional sheets if needed. All questions must be answered or marked Not Applicable (N/A).**

- 1. Patient's name: \_\_\_\_\_
- 2. Date reported to insurance company: \_\_\_\_\_
- 3. Name of insurance company: \_\_\_\_\_
- 4. Date of incident and your treatment: \_\_\_\_\_
- 5. Allegations: \_\_\_\_\_

6. What is the present condition of the patient? \_\_\_\_\_  
\_\_\_\_\_

7. Did you in any way alter, embellish, delete, change, and/or destroy any records, medical or otherwise, or were allegations made that you did so, pertaining to this claim?  
..... Yes  No

8. Status of claim (check applicable answer):

- Suit threatened, no action taken
- Suit filed but dropped by claimant
- Summary judgment in your favor
- Suit settled out of court
  - a. Date claim paid: \_\_\_\_\_
  - b. Amount paid: \$ \_\_\_\_\_
  - c. Did you want to settle this claim? ..... Yes  No

Court outcome in your favor:

- Jury verdict
- Directed verdict

Court outcome in favor of plaintiff:

- Jury verdict
- Directed verdict

Amt. of Loss Payment:

\$ \_\_\_\_\_

Awaiting mediation

Awaiting court action

Reserve Amount:

\$ \_\_\_\_\_

9. Name and address of the attorney assigned to your case: \_\_\_\_\_  
\_\_\_\_\_

10. To your knowledge, was any settlement paid by another party involved (i.e., your corporation, employer, partners, employees, etc.)?  
.....  Yes  No

If yes, amount paid: \$ \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Name (Printed): \_\_\_\_\_